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6 Attorneys for Creditor  
7 A.J. EXCAVATION INC.

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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

**In Re  
PG&E CORPORATION**

and

**PACIFIC GAS AND ELECTRIC  
COMPANY,**

Debtors.

Bankruptcy Cases  
19-30088-DM (Lead Case)  
19-30089-DM

(Jointly Administered)

**A.J. EXCAVATION INC.'S NOTICE OF  
PERFECTION OF LIEN**

(11 U.S.C. §§ 362, 546(b))

- Affects PG&E Corporation  
 Affects Pacific Gas and Electric Corporation  
 Affects both Debtors

\*\*All papers shall be filed in the Lead Case No. 19-30088 DM

Creditor A.J. EXCAVATION INC., ("AJ"), by and through its undersigned counsel, hereby files this notice of perfection, maintenance, and continuation of perfection of its mechanics' lien against the Debtors, PG&E Corporation and Pacific Gas and Electric Company ("Debtors") pursuant to 11 U.S.C. sections 362(b)(3) and 546(b) ("Notice"). In support of this Notice, AJ represents the following:

1. AJ is organized as a California corporation, in the business of performing earthwork, grading, paving, foundation, fencing, excavation, maintenance and/or other related construction

services, with its principal place of business at 514 North Brawley Avenue, Fresno, California 93706.

2. Debtor Pacific Gas & Electric Company (hereinafter “PG&E”) contracted AJ to provide earthwork, grading, paving, foundation, fencing, excavation, maintenance, and/or other related construction services for the improvement of certain real property owed, or reputed to be owned, by PG&E, as provided more fully in the prepetition contract (Long Form) (hereinafter “Contract”). The real property is located at the following address, along with the contract number, more commonly known as:

**San Luis Obispo Substation  
Johnson Ave & Orcutt Rd., San Luis Obispo, CA 93401  
Contract #10909**

(hereinafter “Real Property”).

3. Prior to the bankruptcy petition, AJ furnished such labor, materials, equipment, and/or supervision for the earthwork, grading, paving, foundation, fencing, excavation, and other related construction services for the improvement of the Real Property in accordance with the scope of work contained in the Contract, and as amended by applicable work change orders.

4. The principal sum, exclusive of interest and other charges, that is currently due and owing to AJ for the labor and materials provided to the PG&E pursuant to the Contract is:

**\$34,272.04**

5. Bankruptcy Code, 11 U.S.C. section 362(b)(3), provides that:

The filing of a petition under section 301, 302, or 303 of this title...does not operate a stay... under subsection (a) of this section, of any act to perfect, or to maintain or continue the perfection of, an interest in property to the extent that the trustee's rights and powers are subject to perfection under Section 546(b) of this title or to the extent that such act is accomplished within the period provide under section 547(e)(2)(A) of this title. 11 U.S.C. § 362(b)(3).

6. Bankruptcy Code, 11 U.S.C. section 546(b), provides that:

(1) The rights and powers of a trustee under sections 544, 545, and 549 of this title are subject to any generally applicable law that-

(A) permits perfection of an interest in property to be effective against an entity that acquires rights in such property before the date of perfection; or

(B) provides for the maintenance or continuation of perfection of an interest in property to be effective against an entity that

1                   acquire rights in such property before the date on which  
2                   action is taken to effect such maintenance or continuation.

3                   (2) If –

4                   (A) a law described in paragraph (1) requires seizure of such  
5                   property or commencement of an action to accomplish such  
6                   perfection, or maintenance or continuation of perfection of an  
7                   interest in property; and

8                   (B) such property has not been seized or such an action has  
9                   not been commenced before the date of the filing of the  
petition;

10                  such interest in such property shall be perfected, or perfection of such  
11                  interest shall be maintained or continued, by giving notice within the  
12                  time fixed by such law for such seizure or such commencement. 11  
13                  U.S.C. § 546(b).

14                  7. Pursuant to California Mechanic's Lien law, a claimant must commence an action to  
15                  enforce a lien within 90 days after recordation of the claim of lien. Cal. Civ. Code § 8460(a). Because  
16                  the Debtors filed their Chapter 11 petition for bankruptcy on January 29, 2019, it created an automatic  
17                  stay of all actions, including a claimant's action to enforce a lien. Thus, AJ was prevented from  
18                  commencing an action to enforce its liens against the Debtors and perfect its mechanic's liens under  
19                  the law.

20                  8. Accordingly, AJ hereby gives this notice in lieu of the commencement of any action to  
21                  perfect, maintain, or otherwise preserve its mechanics' liens pursuant to 11 U.S.C. § 546(b) and  
22                  California Civil Code sections 8460(a), including the recording of a claim of lien, the commencement  
23                  of action to enforce the Mechanics' Liens, the filing of a Pendency of Action, and/or the service of  
24                  notice on purchasers of production on the Real Property ("Mechanics' Lien"). A true and correct copy  
25                  of the Mechanics' Lien is attached hereto as Exhibit "A" and incorporated by reference.

26                  9. AJ hereby gives notice it intends to enforce its rights under the Mechanics' Lien to the  
27                  fullest extent allowed under the law. This Notice shall not be construed as an admission that such  
28                  filing is required or to the necessity of recording, commencement, or seizure. In addition, AJ hereby  
                        gives notice that it has, or may be entitled to, additional mechanics' liens rights to properties owned  
                        by the Debtors as they become due and owing according to prepetition contracts with the Debtors for  
                        the improvement of real property. This Notice shall preserve and continue to preserve any and all of  
                        AJ's rights as to the Mechanics' Liens and Bankruptcy Code.

10. AJ reserves the right to amend, supplement, or otherwise modify this Notice and reserves any and all rights entitled to it under the applicable law.

Dated: April 26, 2019

McCORMICK, BARSTOW, SHEPPARD,  
WAYTE & CARRUTH LLP

By: \_\_\_\_\_ /s/ *H. Annie Duong*  
David L. Emerzian  
*H Annie Duong*  
Attorneys for Creditor  
A.J. EXCAVATION INC.

## **PROOF OF SERVICE**

**STATE OF CALIFORNIA, COUNTY OF FRESNO**

At the time of service, I was over 18 years of age and **not a party to this action**. I am employed in the County of Fresno, State of California. My business address is 7647 North Fresno Street, Fresno, CA 93720.

On April 26, 2019, I served true copies of the following document(s) described as **A.J. EXCAVATION, INC.'S NOTICE OF PERFECTION OF LIEN** on the interested parties in this action as directed by the Order Implementing Certain Notice and Case Management Procedures issued March 6, 2019.

**BY ELECTRONIC FILING THROUGH CM/ECF PARTICIPANTS:** Based on the Order Implementing Certain Notice and Case Management Procedures, transmission of service through CM/ECF shall constitute effective service on that Registered Participant. My electronic service address service is dawn.houston@mccormickbarstow.com, and I caused the document(s) to be sent to the persons using the CM/ECF system. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on April 26, 2019, at Fresno, California.

/s/ Dawn Houston  
Dawn M. Houston

# **EXHIBIT A**

## **NOTICE OF MECHANICS' LIEN ATTENTION!**

Upon the recording of the enclosed MECHANICS' LIEN with the county recorder's office of the county where the property is located, your property is subject to the filing of a legal action seeking a court-ordered foreclosure sale of the real property on which the lien has been recorded. That legal action must be filed with the court no later than 90 days after the date the mechanics' lien is recorded.

The party identified in the mechanics' lien may have provided labor or materials for improvements to your property and may not have been paid for those items. You are receiving this notice because it is a required step in filing a mechanics' lien foreclosure action against your property. The foreclosure action will seek a sale of your property in order to pay for unpaid labor, materials, or improvements provided to your property. This may affect your ability to borrow against, refinance, or sell the property until the mechanics' lien is released.

BECAUSE THE LIEN AFFECTS YOUR PROPERTY, YOU MAY WISH TO SPEAK WITH YOUR CONTRACTOR IMMEDIATELY, OR CONTACT AN ATTORNEY, OR FOR MORE INFORMATION ON MECHANICS' LIENS GO TO THE CONTRACTORS STATE LICENSE BOARD WEB SITE AT [www.cslb.ca.gov](http://www.cslb.ca.gov).

### **PROOF OF SERVICE AFFIDAVIT**

I am a citizen of the United States and employed in Fresno County, California. I am over the age of eighteen (18) years and not a party to the action. My business address is: 514 N. Brawley Avenue Fresno CA 93706

On this date I served the foregoing MECHANICS' LIEN on the owners of the property: Pacific Gas & Electric 77 Beale St. San Francisco CA94105,  
(Name & Address of Owner)

by placing a true copy thereof enclosed in a sealed envelope, first-class mail postage prepaid, evidenced by a certificate of mailing, to the owner at the owner's or reputed owner's residence or place of business address or at the address shown by the building permit on file with the authority issuing a building permit for the work, or as otherwise provided in Section 8174 of the California Civil Code.

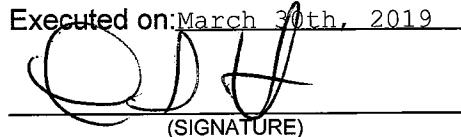
by personally delivering a true copy thereof to the person(s) at the address set forth below:

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I declare under penalty of perjury under the laws of the State of California that foregoing is true and correct.

Executed on: March 30th, 2019 by: Alisa Emmett  
(Your Name)



---

(SIGNATURE)

## RECORDING REQUESTED BY

A.J. Excavation Inc.  
514, N. Brawley Avenue  
Fresno CA 93706

## AND WHEN RECORDED MAIL TO:

A.J. Excavation Inc.  
514 N. Brawley Avenue  
Fresno CA 93706  
San Luis Obispos: #18-167 CNTR: #10909

2019010500

**Tommy Gong**  
San Luis Obispo - County Clerk-Recorder  
03/25/2019 03:02 PM

Recorded at the request of:  
**PUBLIC**

**Titles: 1 Pages: 2**  
**Fees: \$99.00**  
**Taxes: \$0.00**  
**Total: \$99.00**



SPACE ABOVE THIS LINE FOR RECORDER'S USE

**MECHANICS' LIEN**The undersigned A.J. Excavation Inc.

[Name of person or firm claiming mechanics' lien. Contractors use name exactly as it appears on contractor's license]

Claimant claims a mechanics' lien upon the following described real property:

City of San Luis Obispo, County of San Luis Obispo, California,  
San Luis Obispo Substation: Johnson Avenue & Orcutt Road, San Luis Obispo CA 93401

[General description of property where the work or materials were furnished. A street address is sufficient, but, if possible, use both street address and legal description.]

San Luis Obispo Civil Substation Work

#18-167 PO:2700166847 CNTR:10909 Invoice #2019-1074 &amp; 2019-1075

The sum of \$ 34,272.04

[Amount of claim due and unpaid]

at the rate of 10% percent per annum from March 30th, 2019

[Date when balance became due]

is due claimant after deducting all just credits and offsets for the following work and materials furnished by claimant Demolition/ Provide & Install foundations/ Soil Removal/ Grading

[Insert general description of work or materials furnished]

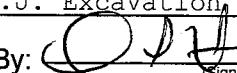
Claimant furnished the work and materials at the request of, or under contract with  
Pacific Gas & Electric

[Name of person or firm who ordered or contracted for the work or materials]

The owners and reputed owners of the real property or leasehold interest are \_\_\_\_\_

Pacific Gas & Electric

[Insert name of owner of real property. This can be obtained from the County Recorder]

Firm Name A.J. Excavation Inc.By:  [Signature of claimant or authorized agent]Alisa Emmett

[Printed name of claimant or authorized agent]

514 N. Brawley Avenue Fresno CA 93706

[Address of claimant or authorized agent]

**VERIFICATION**

I, the undersigned, say: I am the President

[President of, "Manager of," "A partner of," "Owner of," etc.]

the claimant of the foregoing mechanics' lien. I have read said claim of mechanics' lien and know the contents thereof; the same is true of my own knowledge.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 3/30/19, at Fresno, California.

[Date of Signature]

[City Where Signed]

[Personal signature of the individual who is swearing that the contents of the claim of mechanics' lien are true.]

Alisa Emmett - President

[Printed name]

AJ Excavation Inc.

9662 W. Kearney Blvd.  
Fresno, CA 93706  
O#559-408-5908

# Invoice

| Date      | Invoice # |
|-----------|-----------|
| 1/31/2019 | 2019-1074 |

| Bill To   |
|---|
| Pacific Gas & Electric<br>P.O. BOX 7760<br>San Francisco, CA. 94120 |

| P.O. No.   | Terms | Project                       |
|------------|-------|-------------------------------|
| 2700166847 |       | San Luis Final Grade/Yard ... |

| Quantity | Description                    | Rate      | Amount                   |
|----------|--------------------------------|-----------|--------------------------|
|          | BILLING #2 San Luis Substation | 29,569.92 | 29,569.92                |
|          |                                |           | <b>Total</b> \$29,569.92 |

AJ Excavation Inc.

9662 W. Kearney Blvd.  
Fresno, CA 93706  
O#559-408-5908

# Invoice

| Date      | Invoice # |
|-----------|-----------|
| 1/31/2019 | 2019-1075 |

| Bill To   |
|---|
| Pacific Gas & Electric<br>P.O. BOX 7760<br>San Francisco, CA. 94120 |

| P.O. No.   | Terms | Project                       |
|------------|-------|-------------------------------|
| 2700166847 |       | San Luis Final Grade/Yard ... |

| Quantity | Description                                       | Rate     | Amount                  |
|----------|---|----------|-------------------------|
|          | BILLING #3 CC01 San Luis Substation FINAL BILLING | 4,702.12 | 4,702.12                |
|          |   |          | <b>Total</b> \$4,702.12 |



## Field Authorization for Addition/Deduction of Work

LOCATION: San Luis Obispo Substation ORDER NO./PCC 74002229  
CONTRACTOR AJ Excavation INC. CONTRACT NO. 10909  
FIELD AUTHORIZATION NO. 1  
DATE WORK TO OCCUR 12/31/2018

Specific description of work to be added or deleted. For hourly rate work, list labor and equipment classifications, hours of work, and hourly rates as applicable.

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Scope Change | <input type="checkbox"/> Planning: External Party | <input type="checkbox"/> Contract Errors - Planning       |
| <input type="checkbox"/> Planning: Cancellation  | <input type="checkbox"/> Emergency Work           | <input type="checkbox"/> Contract Errors - Development    |
| <input type="checkbox"/> Planning: Permitting    | <input type="checkbox"/> External Factors         | <input checked="" type="checkbox"/> Unforeseen Conditions |
| <input type="checkbox"/> Planning: Clearances    | <input type="checkbox"/> Engineering & Design     | <input type="checkbox"/> Other                            |

General Description:

Repair sink holes from the removal of the temp wood poles in yard and provide/install one removable bollard at the corner of pull box.

| DESCRIPTION  | QTY         | UNIT    | PRICE/EA   | Price      |
|--|-------------|---------|------------|------------|
| Mobilization on and off project  | 1           | LS      | \$1,000.00 | \$1,000.00 |
| Provide up to 10 tons of class 2 base-rock to make final grade repairs and provide and install one removable steel bollard. This work to be tracked on T&M NTE | 1           | T&M NTE | \$9,500.00 | \$9,500.00 |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
|  |             |         |            | \$0.00     |
| TOTAL LUMP SUM OR NOT TO EXCEED (UNIT PRICE OR TIME & MATERIALS) AMOUNT  | \$10,500.00 |         |            |            |

This document, upon signature by PG&E and Contractor, will be used by PG&E to validate and prepare a Contract Change Order and allow additional in-scope work to proceed until a Contract Change Order is executed. Compensation to Contractor for above work will be based on the estimated amount shown above, and as negotiated by PG&E and Contractor. Contractor may not invoice or receive payment for additional compensation and work until a Contract Change Order has been executed by both parties. PG&E reserves the right to suspend, cancel, or terminate the above described work at any time. Should the above described work be cancelled by PG&E, Contractor will be reimbursed for actual costs incurred up to the time work was cancelled.

|  |               |  |               |
|--|---------------|--|---------------|
| PREPARED by PG&E with signature<br><br><i>Brinn Goodwin</i>  | DATE 12-10-18 | APPROVED OR RECOMMENDED by PG&E Supervisor with signature<br><br><i>Janet Ybarra</i>               | DATE 12/10/18 |
| ACCEPTED by Contractor's Representative with signature   | DATE          | APPROVED OR RECOMMENDED by PG&E Manager with signature (if necessary per delegation of authority)  | DATE          |
| APPROVED OR RECOMMENDED by PG&E Director with signature (if necessary per delegation of authority) | DATE          | APPROVED OR RECOMMENDED by PG&E Director with signature (if necessary per delegation of authority) | DATE          |



## Contract (Long Form)

This is a Contract between the below named Contractor (“Contractor”), a California Corporation, and Pacific Gas and Electric Company (“PG&E”), a California corporation with its headquarters located at 77 Beale Street, San Francisco, California 94105.

|                                 |   |  |
|---------------------------------|---|--|
| <b>Contractor's Legal Name:</b> | A.J. Excavation Inc.                      | <b>PG&amp;E Contract No. 10909</b>         |
| <b>Contractor's Address:</b>    | 9662 W. Kearney Blvd.<br>Fresno, CA 93706 | <b>This Contract consists of 65 pages.</b> |
| <b>Project Name:</b>            | Civil Substation Work                     |  |
| <b>Job Location:</b>            | PG&E San Luis Obispo Substation           |  |

**WORK:** Contractor shall, at its own risk and expense, perform the Work described in this Contract and furnish all labor, equipment, and materials necessary to complete the Work as summarized below and as more fully described in Attachment 2, Scope of Work. This is not an exclusive Contract. This Contract does not guarantee Contractor any Work nor is there any guarantee as to any volume or duration of Work.

Contractor shall perform civil work as directed by PG&E onsite supervisor at PG&E San Luis Obispo Substation.

**ATTACHMENTS:** Each of the following documents is attached to this Contract and incorporated herein by this reference:

Attachment 1: Construction Long Form General Conditions (49 Pages)

Attachment 2: Scope of Work (6 Pages)

Attachment 3: PG&E Authorized Disposal and Recycling Facilities (7 Pages)

Attachment 4: Labor & Equipment Rate Sheet (1 Page)

**CONTRACT TERM:** This Contract is effective upon signature by both parties and expires on **12/31/2018**.

**COMPLETION:** Contractor shall commence performance hereof when directed to do so by PG&E. Work shall be completed by the completion date of **12/31/2018**. Time is of the essence.

**INSURANCE:** Contractor shall maintain insurance in accordance with Section 26.0 of the General Conditions.

**TERMS OF PAYMENT:** In accordance with Section 17.0 of the General Conditions.

**CONSIDERATION:** As full consideration for satisfactory performance of the Work by Contractor, PG&E's total obligation to Contractor shall not exceed the following amount. This amount is inclusive of all taxes incurred in the performance of the Work. Any change to this amount shall only be authorized in writing by a PG&E Contract Change Order, fully executed by both PG&E and Contractor.

**TOTAL: \$132,938.00 – Lump Sum and Unit Price (NTE)**

**THE PARTIES, BY SIGNATURE OF THEIR AUTHORIZED REPRESENTATIVES, HEREBY AGREE TO THE TERMS OF THIS CONTRACT.**

| <b>PACIFIC GAS AND ELECTRIC COMPANY</b> |  | <b>CONTRACTOR: A.J. EXCAVATION INC.</b> |               |
|---|--|---|---------------|
| <b>Signature</b>                        |  | <b>Signature</b>                        |               |
| <b>Name</b>                             |  | <b>Name</b>                             | Dave Swofford |
| <b>Title</b>                            |  | <b>Title</b>                            | VP Utility    |
| <b>Date</b>                             |  | <b>Date</b>                             | 9/20/2018     |



| ADMINISTRATION   |   |   |  |  |  |
|--|---|---|--|--|--|
| PG&E Negotiator  | Nate Warner   | Contractor Representative   | Dave Swafford  |  |  |
| Phone  | (916) 899-9735  | Phone   | (559) 408-5908   |  |  |
| Email  | <a href="mailto:NXWV@pge.com">NXWV@pge.com</a>  | Email   | <a href="mailto:dave@movendirt.com">dave@movendirt.com</a> |  |  |
| Accounting Reference   | 74002229  |   |  |  |  |
| PG&E Work Supervisor:  | Brian Goodwin   | Phone: (559) 760-6655   |  |  |  |
| <b>INVOICE INSTRUCTIONS:</b><br>Contractor shall send invoices for each payment when due, showing the Contract number, to:<br><br>PACIFIC GAS AND ELECTRIC COMPANY                           | <b>Send ORIGINAL Invoice to:</b><br><b>(See note below if using PG&amp;E's electronic invoicing system)</b> | PG&E Accounts Payable*<br>PO Box 7760<br>San Francisco, CA 94120-7760   |  |  |  |
|  | <b>Send COPY of Invoice to:</b>   | Jennifer Brothers<br>6030 West Oaks Blvd., Ste 300<br>Rocklin, CA 95765 or <a href="mailto:ETS&amp;PSCContractInvoicing@pge.com">ETS&amp;PSCContractInvoicing@pge.com</a> |  |  |  |
| For information regarding invoice status, call PG&E's Paid Help Line at (800) 756-PAID (7243) or go to AP Web Reporting site at <a href="http://www.pge.com/actpay">www.pge.com/actpay</a> . |   |   |  |  |  |
| *Note: Contractors using PG&E's electronic invoicing system do not need to mail a copy of the invoice to PG&E Accounts Payable.  |   |   |  |  |  |

| INTERNAL PG&E USE ONLY |  |  |  |
|------------------------|--|--|--|
| Distribution Date      |  |  |  |
| Distribution of Copies | <input type="checkbox"/> Document Services<br>(Signed Original Copy)<br>Mail Code N5D<br>245 MARKET ST., SAN FRANCISCO | <input checked="" type="checkbox"/> Contractor<br>(Signed Original Copy) |  |
|                        | <input checked="" type="checkbox"/> Work Supervisor  | <input type="checkbox"/> Manager   |  |
|                        | <input type="checkbox"/> Invoice Approver  | <input type="checkbox"/> Supervisor                                      |  |
|                        | <input type="checkbox"/> V.P.  | <input type="checkbox"/> Sourcing/ Purchasing                            |  |
|                        | <input type="checkbox"/> Director  | <input type="checkbox"/> Law   |  |